

Compliance

Store and maintain key documents, identify and manage operational risk

Whatever your business, from finance to media, you'll face compliance requirements. And when it comes to compliance, businesses need not only to follow the law, but to prove that they're doing so. Failing to meet the regulations may result in fines, harm to your relationships with stakeholders, the withdrawal of your license to operate - or simply a PR disaster for your organisation.

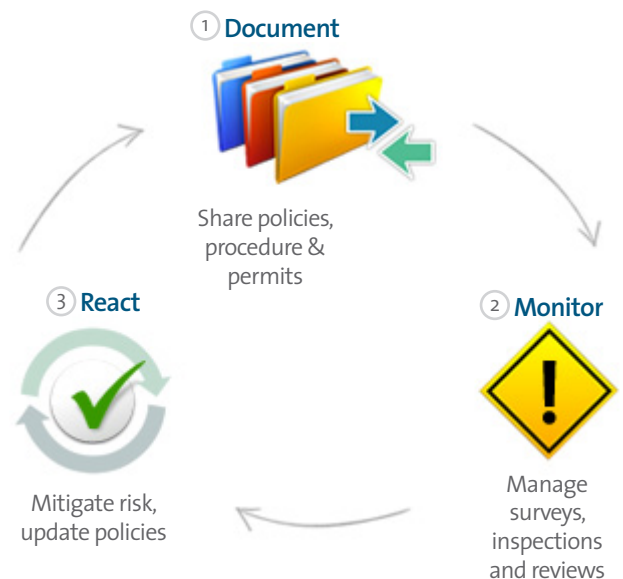
Our Solution

Our Compliance solution helps you document and manage your policies, procedures and legislative requirements on a global and local level. JM Family Enterprises, a diversified automotive corporation whose business includes the world's largest independent distributor of Toyotas and Scions, uses credit360 to manage their environmental sustainability and compliance information. Our solution can be used to:

- Log documents, permits and licenses in a secure, central location
- Collate information on risks across your business in a consistent manner
- Schedule audits, store results and manage corrective actions
- Manage custom surveys across your workforce to understand attitudes and behaviour
- Use our library of ethical dilemmas (tagged by theme and UN Global Compact principle) to engage employees and identify risk areas

Key features and benefits

- Users from across your business access a single web-based system to manage compliance issues
- Clearly define roles and work plans with notifications and reminders
- Full audit log for all data entry and version control for document management
- Engage employees on ethical issues with a unique library of dilemmas



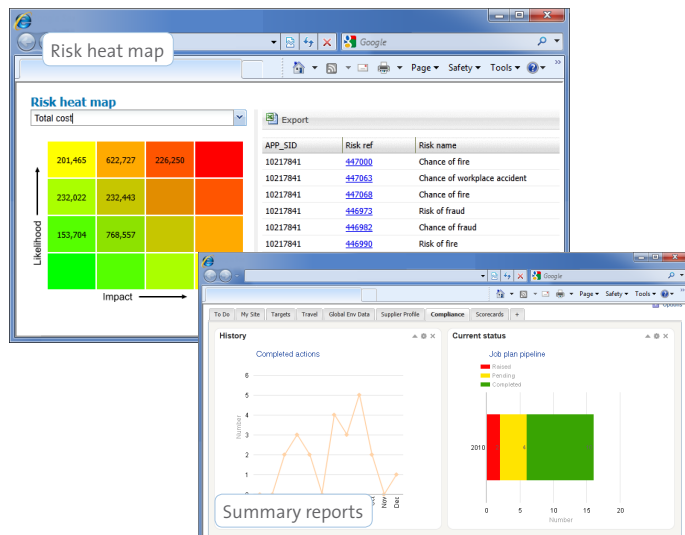
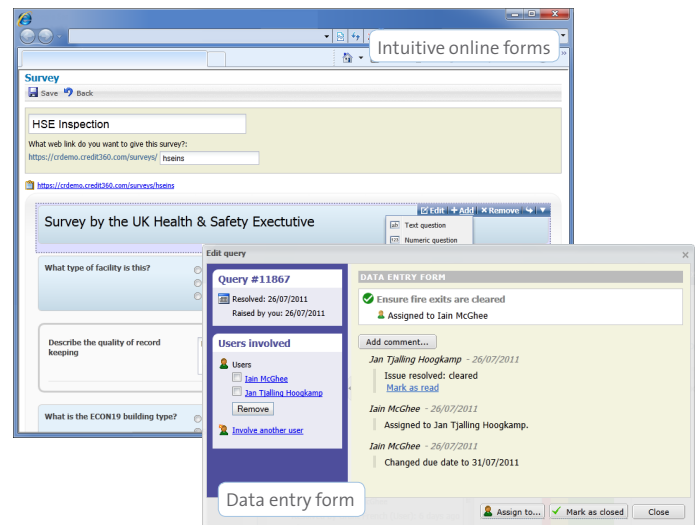
credit360 is a web based sustainability data management solution which provides your users with quick and intuitive access to a central system wherever they are in the business. Compliance is just one aspect of the credit360 system. Our clients can incrementally build a single system to manage all of their sustainability issues in a single integrated platform, from Compliance to Corporate Social Responsibility.



A closer look at Compliance

How do I collect the information?

- Users can access secure online forms to enter information, upload documents and assign renewal dates and responsible persons
- Schedules can be defined for repeat audits and inspections with users entering results directly to the system with intuitive forms
- Email based surveys invite participants to submit their responses online



How can I manage and respond to non-compliances?

Non-compliances can be raised automatically based on rules you define, or even on an ad hoc basis. Once raised, a non-compliance is assigned a due date and a specific user who can involve other people. All updates, comments and documents are stored against the non-compliance record which is ultimately closed out by an appropriate user.

Each user has a dashboard summarising the corrective actions they are involved in so they can keep on top of their work.

Any policy updates are controlled through the document manager which will track changes and notify relevant people.

What reporting & management tools will I get?

- Flexible role based system to ensure the right people are sent automated reminders to update information and manage corrective actions
- Easy survey editor to create ad hoc questionnaires or more formal audit surveys
- Browse views of compliance data with filters and search criteria
- Chart builder for summary reports (non-compliances, efficiency of corrective action management)
- Performance dashboards and PDF/Word report templates
- Heat map for a quick visual overview of risks across your business

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